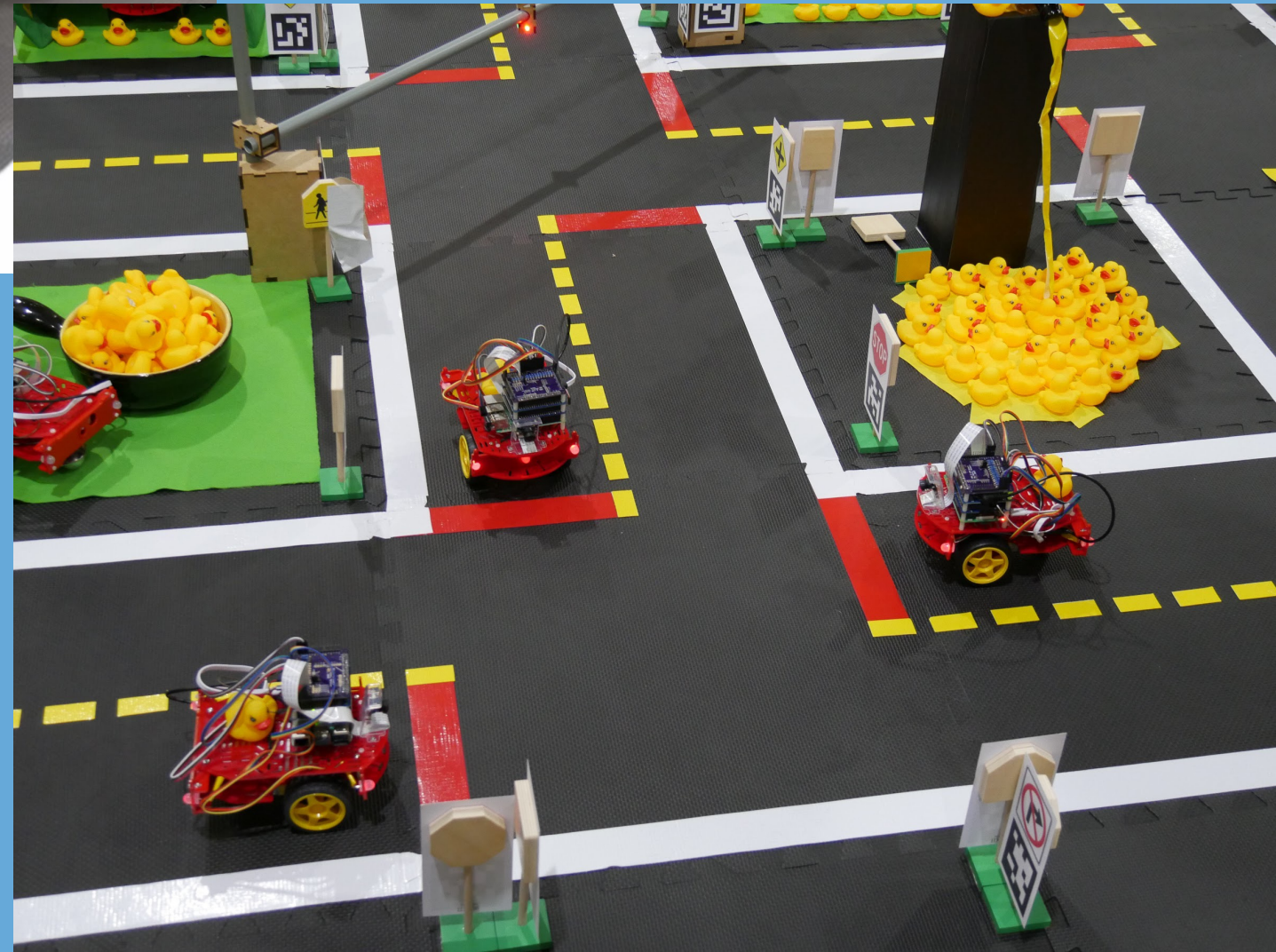


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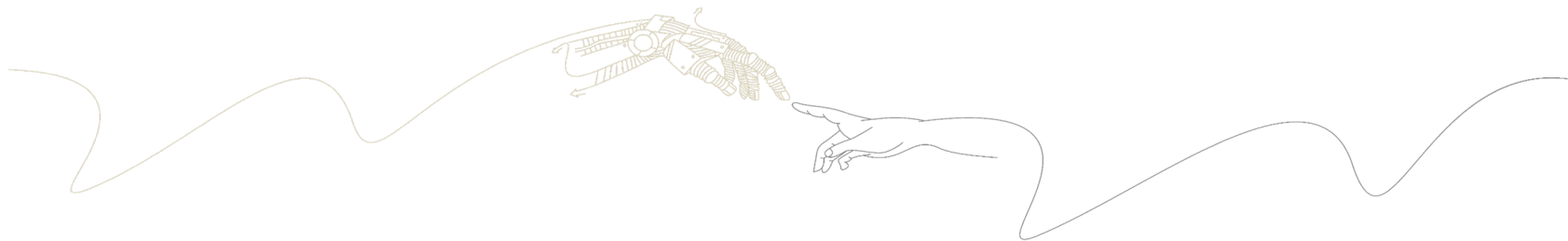


***Lecture 6:
A Vacuum Cleaning Robot:
States and Actions***

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Lectures 3-5 Recap

Trash Sorting Robot

- States are uncertain:
 - Prior probability distribution on states
 - No dependence on past actions
- Three simple sensors
 - Discrete sensors, discrete conditional distributions
 - Continuous sensor, conditional distribution is Gaussian
- Perception using Bayes equation:
 - Bayes inversion equation to infer state from sensor measurements
 - Maximum likelihood estimation (MLE)
 - Maximum a posteriori estimate (MAP)
 - Sensors are conditionally independent, given state
- Deterministic actions make planning pretty easy:
 - Formulate decision making as an optimization problem
 - Minimize expected cost, minimize worst-case cost, etc.
- Learning prior distributions and sensor models
 - Counting outcomes and using proportions for discrete distributions
 - Parameter estimation for Gaussian distributions



*A Vacuum Cleaning
Robot*

Chapter 3

Overview

- The state space is more interesting, but still discrete.
- Actions are not deterministic.
 - Uncertainty in the effects of actions.
 - Probability associated to an action's effects depends on the current state.
- Very simple sensing system
- Perception includes both sensing and context
 - An individual measurement from a simple sensor doesn't provide much information.
 - *History* of sensor observations affects current belief about the world.
- Planning is more complex in this scenario.
 - Because effects of actions depend on state, we need to think about more than one action, and about how the effects of actions propagate through time.
 - Because there is uncertainty, we plan to maximize expected reward, not deterministic outcomes or goals.
- Reinforcement Learning (RL) is appropriate when we don't have access to large data sets, and when the robot operates in the same setting for a long period of time.

States

In this chapter, we consider the following scenario:

- The robot can move in any direction, so its orientation doesn't matter.
 - The robot is equipped with navigation software (which is not perfect), so we won't worry about path planning from room to room.
 - To clean a specific room, the robot can execute a preprogrammed motion (maybe boustrophedon, maybe random), so we don't need to worry about the exact position of the robot in a specific room.
 - The robot has built-in collision avoidance, so no need to have a detailed map of object locations
- ***The room in which the robot is currently located is the only interesting piece of information for this robot.***

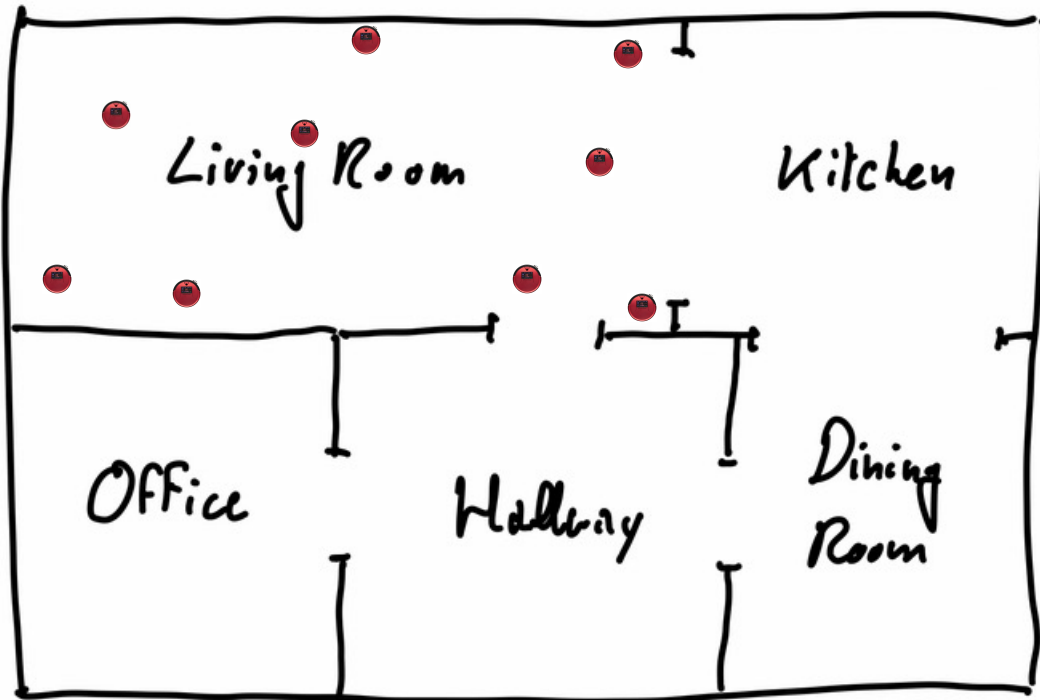
State Space



A typical vacuum cleaning robot.

For this robot, the state, X , is defined as the room in which the robot is currently located:

$$X \in \{\textit{living room}, \textit{kitchen}, \textit{office}, \textit{hallway}, \textit{dining room}\}$$



For all of the robot locations shown here, we have:

$$X = \textit{living room}$$

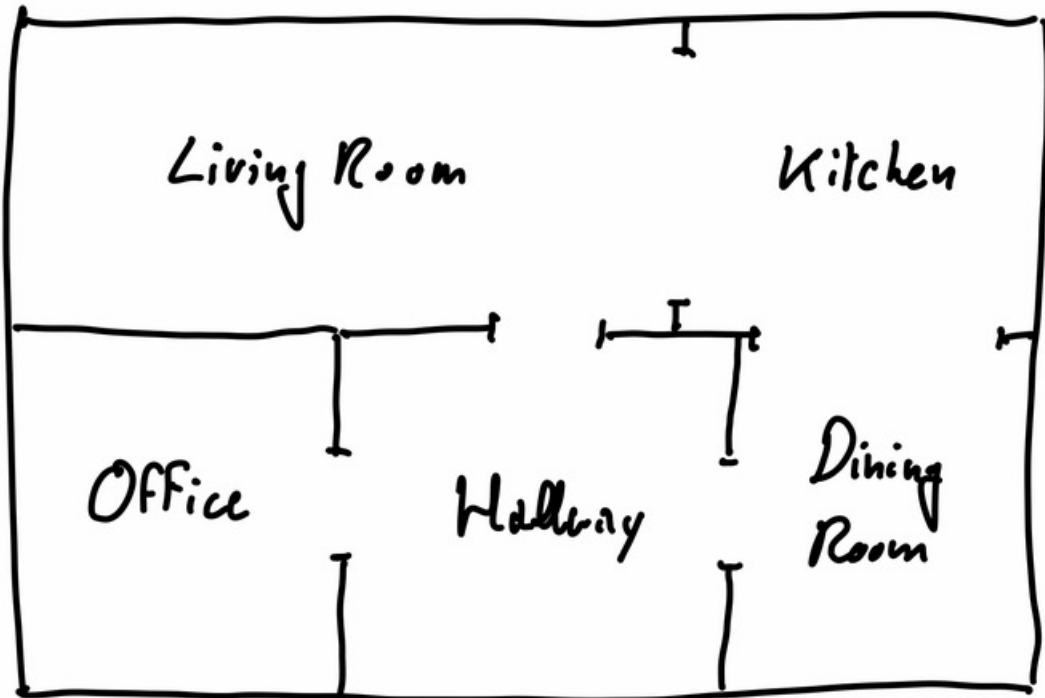
The exact location within the living room is not relevant for this robot.

To simplify notation, we'll sometimes write $X \in \{L, K, O, H, D\}$.

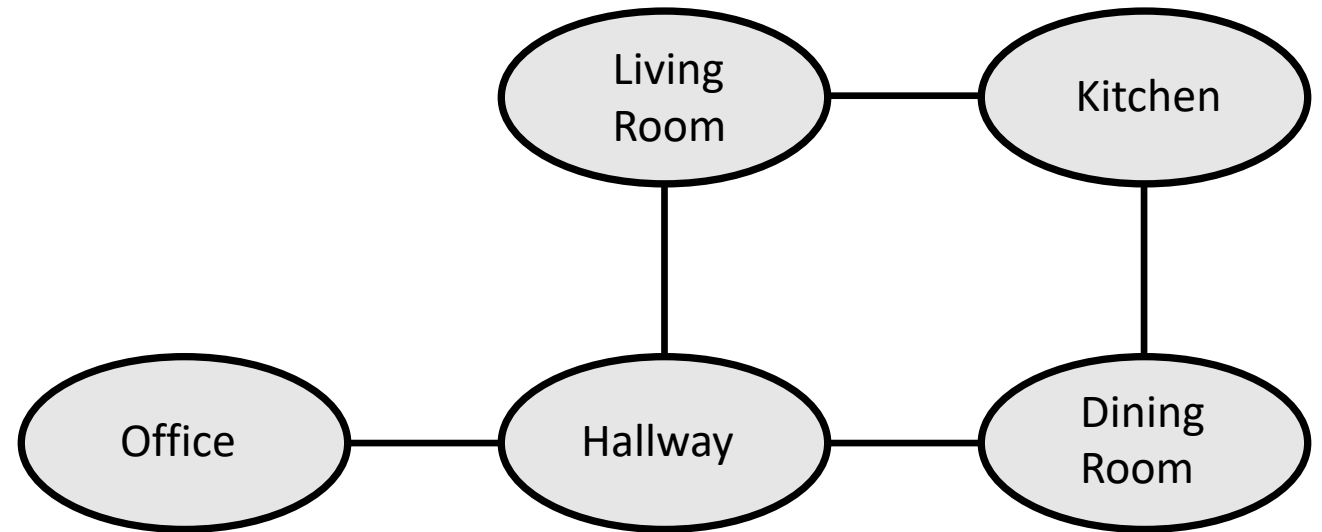
State Space

The state space is the set of all states, along with connectivity information (i.e., neighborhood relationships between states).

In this case, we can represent the state space by a simple undirected graph.



- Our robot can move directly from the *living room* to the *kitchen* or *hallway*, but cannot move directly from the *kitchen* to the *office*.
- This representation will be useful for both planning and for perception.



Prior probability distribution

- For our trash sorting robot, the prior probability distribution on state described our belief (before making any sensor measurements) about the type of object in the work cell.
- For our vacuum cleaning robot, the prior probability distribution on state describes our belief about the **initial location** of the robot: *When the robot “wakes up,” where will it be?*
- In this chapter, we’ll assume that the robot always returns to its charging station in the office after operation (perhaps with the help of a human, or a very smart dog).
- Therefore, our prior distribution on state at the start of the day is given by:

State, x	$P(X=x)$
Living room	0
Kitchen	0
Office	1
Hallway	0
Dining room	0

- $P(X = office) = 1$ implies that there is **no uncertainty** in our initial state.
- BUT... because there will be uncertainty associated to the effects of actions, this certainty will not long endure after the robot begins its daily activities.

Discrete time systems

- For our trash sorting robot, there was no need to consider the passing of time.
 - Past actions did not affect future performance,
 - Actions were executed in a single time step.
 - The state, X , denoted the state at the present time, and we never needed to represent the state at any other time (neither past nor present).
- For our vacuum cleaning robot, the passing of time is important.
 - We know the location of the robot at the start of the day, but after the robot executes its first actions, there will be uncertainty in the robot's state.
 - The state could change each time the robot executes an action.
 - Sensor measurements depend on state, and state depends on actions; therefore, the sequence in which sensor measurements occur will give us information about the world that can be used for perception.
- Most of the time, nothing interesting happens.
 - We don't need to keep track of the state for all $t \in \mathbb{R}_{\geq 0}$.
 - We only need to keep track of state at discrete time instants, $t \in \{t_0, t_1 \dots\}$, where $\{t_0, t_1 \dots\}$ is the set of times at which something "interesting" occurs.
- **We will represent the state at time t by X_t , and we'll simplify notation by simply using $t \in \{0, 1, 2 \dots\}$.**
- The initial state of the robot (i.e., when it wakes up in the morning) is therefore: $X_0 = \textit{office}$.

Belief state

- It will sometimes be convenient to refer to the entire probability distribution at time t .
- We refer to this distribution as the belief state at time t , denoted by b_t .
- The belief state is a **row vector** whose elements correspond to the possible states.
- In our case, there are five possible states, so b_t has five elements.
- At $t = 0$, the belief state is merely our initial distribution:

$$\begin{aligned} b_0 &= [P(X_0 = L), \quad P(X_0 = K) \quad P(X_0 = O) \quad P(X_0 = H) \quad P(X_0 = D)] \\ &= [0 \quad 0 \quad 1 \quad 0 \quad 0] \end{aligned}$$

- The belief state b_{t+1} is conditioned on the initial state x_0 and all actions taken until time t .

$$b_{t+1}^T = \begin{bmatrix} P(X_{t+1} = L \mid a_1 \dots a_t, x_0) \\ P(X_{t+1} = K \mid a_1 \dots a_t, x_0) \\ P(X_{t+1} = O \mid a_1 \dots a_t, x_0) \\ P(X_{t+1} = H \mid a_1 \dots a_t, x_0) \\ P(X_{t+1} = D \mid a_1 \dots a_t, x_0) \end{bmatrix}$$

We need to learn about actions...

- Note that we use b_{t+1}^T to denote the transpose of b_{t+1} (for formatting purposes).

Actions

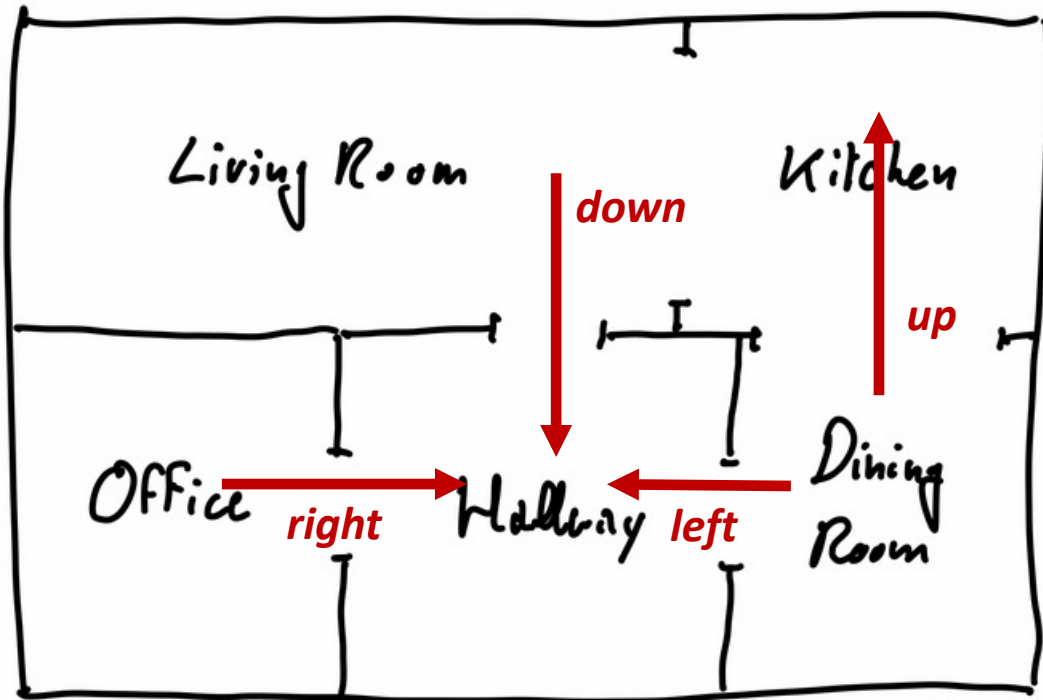
- Our vacuum cleaning robot has four actions:
 - Move *left, right, up, or down* (relative to the map of the house)
- Effects of actions are probabilistic.
- Effects of actions depend on the current state.
 - ***Use conditional probabilities to model the effects of actions.***
- For a specific sequence of actions (e.g., *up, right, down, left*), computing probabilities for states in the distant future seems complicated.
 - ***Happily, thanks to the Markov property, these computations are not so difficult.***

Actions

Our robot has four actions:

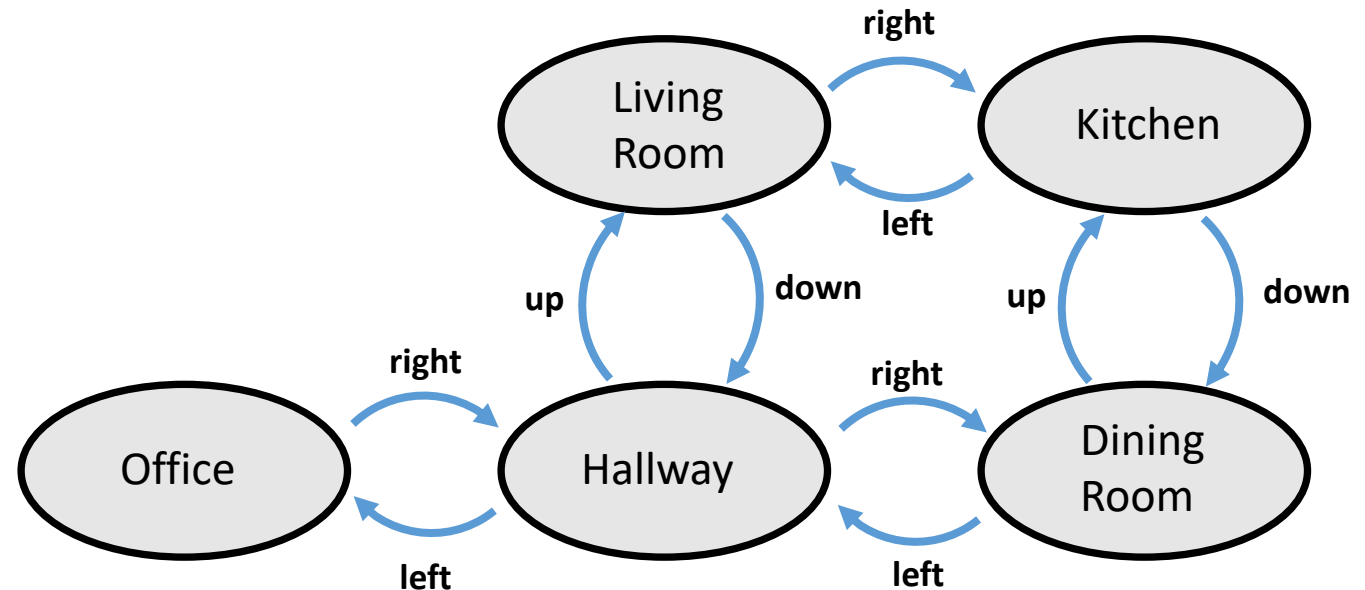
up, down, left, right.

- Effects of actions are context dependent.
- Actions potentially cause a change in state.
- Executing an action in state X_t produces state X_{t+1}



We can represent this by a slight modification to our state space:

- Instead of using an undirected graph, use a directed graph.
- Each edge (u, v) corresponds to an action meant to change the state from $x_t = u$ to $x_{t+1} = v$.
- **Sadly, our actions are not deterministic, so we need to do a bit more work.**



Uncertainty in the effects of actions

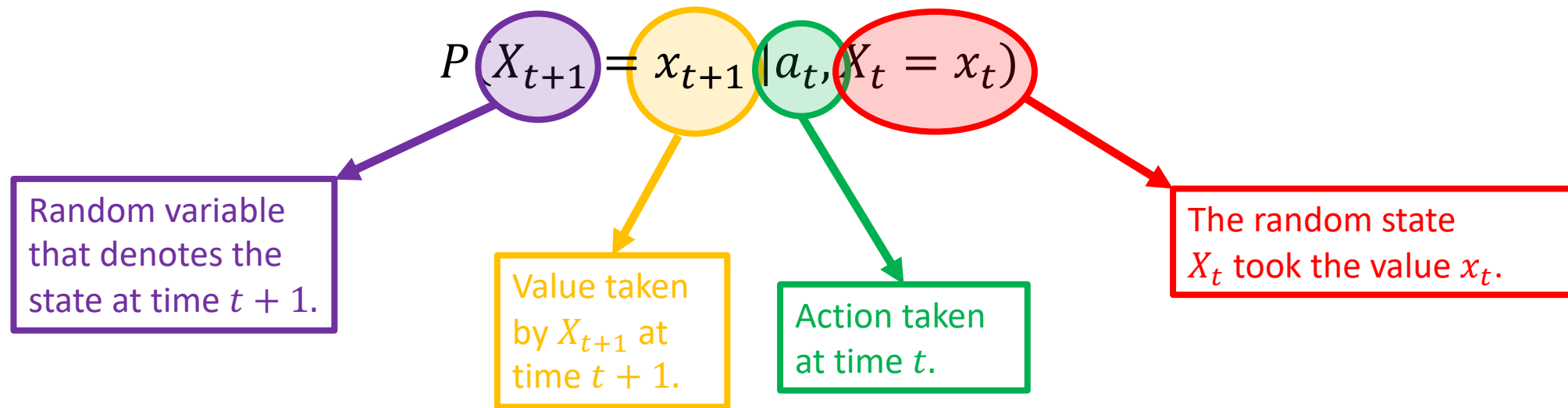
- We will model uncertainty in the effects of actions by using conditional probability distributions.
- In particular, we define the conditional probability distribution for the next state, X_{t+1} , given that the current state, X_t is room x_t , and that action a_t was executed at time t .

$$P(X_{t+1} = x_{t+1} | a_t, X_t = x_t)$$

Example: If we are in the *Office* at time t and execute the *move right* action, $P(X_{t+1} = H | \textit{right}, X_t = O)$ denotes the conditional probability of arriving to the *Hallway*.

Uncertainty in the effects of actions

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The Markov property

- Suppose we start in state $X_0 = O$, execute two actions, *right*, *up*, and move through the states, $X_1 = H, X_2 = L$.
- What can we say about X_3 if we now execute the action *right*? Or, more formally, what can we say about the conditional probability

$$P(X_3 = x_3 \mid \textit{right, up, right}, X_0 = O, X_1 = H, X_2 = L)$$

Key Observation:

- If we *know* that the robot is in the Living Room at time $t = 2$ and executes the action $a_2 = \textit{right}$, our belief about X_3 is **completely independent** of where the robot may have been at times $t = 0, 1$ or of the actions taken at times $t = 0, 1$.
- *More generally, if we know the current room (aka, X_t) then the history of how the robot came to be in that room will not affect our belief about what happens when the robot executes its next action.*
- ***This is an example of a Markov property.***

The Markov property

- Using this Markov property, we can write

$$P(X_3 = x_3 \mid \textit{right, up, right}, X_0 = O, X_1 = H, X_2 = L) = P(X_3 = x_3 \mid \textit{right}, X_2 = L)$$

The Markov property

- Using this Markov property, we can write

$$P(X_3 = x_3 \mid \text{right, up, right}, X_0 = O, X_1 = H, X_2 = L) = P(X_3 = x_3 \mid \text{right}, X_2 = L)$$

What the robot has done before time t .

Where the robot has been before time t .

What the robot does now, at time t .

Where the robot is now, at time t .

Our Markov assumption:

$$P(X_{t+1} = x_{t+1} \mid a_0, \dots, a_t, X_0 = x_0, \dots, X_t = x_t) = P(X_{t+1} = x_{t+1} \mid a_t, X_t = x_t)$$

Next Lecture: More Vacuum Cleaning Robot Stuff

- Uncertainty in actions: Markov Decision Process (MDP)
- Uncertainty in sensing for a sequence of measurements: Hidden Markov Model (HMM)
- Planning using Value Iteration
- Reinforcement Learning (RL)