

# **CS 3630**

# 53

### Pose in 3D

# Reference Frames

- Robotics is all about management of reference frames
  - **Perception** is about estimation of reference frames
  - **Planning** is how to move reference frames
  - **Control** is the implementation of trajectories for reference frames
- The relation between references frames is essential to a successful system



# Application to Drones



To characterize the position and orientation of a drone in flight,

- attach a coordinate frame to the drone (rigid attachment)
- specify the position and orientation of the frame.

### First... a quick review

Nearly everything we learned about position and orientation in the plane can be easily generalized to position and orientation in 3D.

We'll start with a quick review of the 2D case, then generalize to 3D, and show the corresponding mathematical formulations.

# Specifying Orientation in the Plane

**θ** 

Given two coordinate frames with a common origin, how should we describe the orientation of Frame 1 w.r.t. Frame 0?

Specify the directions of x<sub>1</sub> and y<sub>1</sub> with respect to Frame 0 by projecting onto x<sub>0</sub> and y<sub>0</sub>.

$$x_{1}^{0} = \begin{bmatrix} x_{1} \cdot x_{0} \\ x_{1} \cdot y_{0} \end{bmatrix} = \begin{bmatrix} \cos \theta \\ \sin \theta \end{bmatrix}$$
Notation:  $x_{1}^{0}$  denotes  
the x-axis of Frame 1,  
specified w.r.t Frame 0.  

$$y_{1}^{0} = \begin{bmatrix} y_{1} \cdot x_{0} \\ y_{1} \cdot y_{0} \end{bmatrix} = \begin{bmatrix} -\sin \theta \\ \cos \theta \end{bmatrix}$$
We obtain  $y_{1}^{0}$  in the  
same way.

# Rotation Matrices (rotation in the plane)

We combine these two vectors to obtain a *rotation matrix*:

All rotation matrices have certain properties:

- 1. The two columns are each unit vectors.
- 2. The two columns are orthogonal, e.g.,  $c_1 \cdot c_2 = 0$ .
- *3.* det R = +1

 $R_1^0 = \begin{bmatrix} \cos\theta & -\sin\theta\\ \sin\theta & \cos\theta \end{bmatrix}$ 

For such matrices  $R^{-1} = R^T$ 

- $\succ$  The first two properties imply that the matrix R is **orthogonal**.
- The third property implies that the matrix is *special*! (After all, there are plenty of orthogonal matrices whose determinant is -1, not at all special.)

The collection of  $2 \times 2$  rotation matrices is called the <u>Special Orthogonal Group of order 2</u>, or, more commonly <u>SO(2)</u>.

This concept generalizes to SO(n) for  $n \times n$  rotation matrices.

# Rotation Matrices (3D)

All of the properties of SO(2) apply as well to SO(3)!

All rotation matrices have certain properties:

- 1. The two columns are each unit vectors.
- 2. The two columns are orthogonal, e.g.,  $c_1 \cdot c_2 = 0$ .
- *3.* det R = +1

For such matrices  $R^{-1} = R^T$ 

- $\succ$  The first two properties imply that the matrix R is **orthogonal**.
- The third property implies that the matrix is *special*! (After all, there are plenty of orthogonal matrices whose determinant is -1, not at all special.)

The collection of  $3 \times 3$  rotation matrices is called the <u>Special Orthogonal Group of order 3</u>, or, more commonly <u>SO(3)</u>.

$$R_{1}^{0} = \begin{bmatrix} x_{1} \cdot F_{0} & y_{1} \cdot F_{0} & z_{1} \cdot F_{0} \end{bmatrix} = \begin{bmatrix} x_{1} \cdot x_{0} & y_{1} \cdot x_{0} & z_{1} \cdot x_{0} \\ x_{1} \cdot y_{0} & y_{1} \cdot y_{0} & z_{1} \cdot y_{0} \\ x_{1} \cdot z_{0} & y_{1} \cdot z_{0} & z_{1} \cdot z_{0} \end{bmatrix}$$

$$R_{1}^{0} = \begin{bmatrix} x_{1} \cdot F_{0} \\ y_{1} \cdot F_{0} \end{bmatrix} \begin{bmatrix} x_{1} \cdot x_{0} \\ x_{1} \cdot y_{0} \\ x_{1} \cdot z_{0} \end{bmatrix} \begin{bmatrix} x_{1} \cdot x_{0} \\ y_{1} \cdot y_{0} \\ y_{1} \cdot y_{0} \end{bmatrix} \begin{bmatrix} x_{1} \cdot x_{0} \\ y_{1} \cdot y_{0} \\ y_{1} \cdot z_{0} \end{bmatrix}$$
Project the x-axis of Frame 1  
onto the axes of Frame 1

$$R_1^0 = \begin{bmatrix} x_1 \cdot F_0 & y_1 \cdot F_0 \\ y_1 \cdot F_0 & z_1 \cdot F_0 \end{bmatrix} = \begin{bmatrix} x_1 \cdot x_0 & y_1 \cdot x_0 & z_1 \cdot x_0 \\ x_1 \cdot y_0 & y_1 \cdot y_0 & z_1 \cdot y_0 \\ x_1 \cdot z_0 & y_1 \cdot z_0 & z_1 \cdot z_0 \end{bmatrix}$$
Project the y-axis of Frame 1 onto the axes of Frame 0

$$R_1^0 = \begin{bmatrix} x_1 \cdot F_0 & y_1 \cdot F_0 \\ y_1 \cdot F_0 & z_1 \cdot F_0 \end{bmatrix} = \begin{bmatrix} x_1 \cdot x_0 & y_1 \cdot x_0 & z_1 \cdot x_0 \\ x_1 \cdot y_0 & y_1 \cdot y_0 & z_1 \cdot y_0 \\ x_1 \cdot z_0 & y_1 \cdot z_0 & z_1 \cdot z_0 \end{bmatrix}$$
Project the z-axis of Frame 1 onto the axes of Frame 0

Project th

To build a rotation matrix, say  $R_1^0$ : project the axes of Frame 1 onto Frame 0. Each column of  $R_1^0$  corresponds to the projection of one axis of Frame 1 onto Frame 0.

$$R_{1}^{0} = \begin{bmatrix} x_{1} \cdot F_{0} & y_{1} \cdot F_{0} & z_{1} \cdot F_{0} \end{bmatrix} = \begin{bmatrix} x_{1} \cdot x_{0} & y_{1} \cdot x_{0} & z_{1} \cdot x_{0} \\ x_{1} \cdot y_{0} & x_{1} \cdot y_{0} & y_{1} \cdot y_{0} \\ x_{1} \cdot z_{0} & y_{1} \cdot z_{0} \end{bmatrix}$$
Project the x-axis of Frame 1  
onto the axes of Frame 0
Project the y-axis of Frame 1  
onto the axes of Frame 0
Project the z-axis of Frame 0

This process is exactly the same as the process for building rotation matrices in SO(2), even though it can be more difficult to visualize in 3D for rotation matrices in SO(3).

### The simplest example: rotation about the z axis



Recall: for rotation in the plane, we built a rotation matrix as a function of  $\theta$ , the angle between  $x_1$  and  $x_0$  (and also between  $y_1$  and  $y_0$ ):

 $> R_1^0 = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}$  FOR ROTATION IN THE PLANE

This is *easily* extended to the case of rotation in 3D about the z-axis, since all of the interesting action is in the x-y plane (the two z-axes are the same)!

In fact, you'll see that the 2D rotation matrix shows up in the 3D rotation matrix:

$$\succ R_1^0 = \begin{bmatrix} \cos\theta & -\sin\theta & 0\\ \sin\theta & \cos\theta & 0\\ 0 & 0 & 1 \end{bmatrix} \text{FOR ROTATION IN 3D}$$

Projecting  $z_1$  onto Frame 0 involves three dot products:

$$z_1 \cdot x_0 = 0$$
$$z_1 \cdot y_0 = 0$$
$$z_1 \cdot z_0 = 1$$

$$R_j^i = \begin{bmatrix} x_j \cdot x_i & y_j \cdot x_i & z_j \cdot x_i \\ x_j \cdot y_i & y_j \cdot y_i & z_j \cdot y_i \\ x_j \cdot z_i & y_j \cdot z_i & z_j \cdot z_i \end{bmatrix}$$



$$R_1^0 = \begin{bmatrix} -1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$
$$R_0^1 = \begin{bmatrix} -1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$

$$R_j^i = \begin{bmatrix} x_j \cdot x_i & y_j \cdot x_i & z_j \cdot x_i \\ x_j \cdot y_i & y_j \cdot y_i & z_j \cdot y_i \\ x_j \cdot z_i & y_j \cdot z_i & z_j \cdot z_i \end{bmatrix}$$





$$R_j^i = \begin{bmatrix} x_j \cdot x_i & y_j \cdot x_i & z_j \cdot x_i \\ x_j \cdot y_i & y_j \cdot y_i & z_j \cdot y_i \\ x_j \cdot z_i & y_j \cdot z_i & z_j \cdot z_i \end{bmatrix}$$

$$R_2^1 = \begin{bmatrix} -1 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & -1 & 0 \end{bmatrix}$$

$$R_2^0 = \begin{bmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{bmatrix}$$

# A bunch of examples: $R_{j}^{i} = \begin{bmatrix} x_{j} \cdot x_{i} & y_{j} \cdot x_{i} & z_{j} \cdot x_{i} \\ x_{j} \cdot y_{i} & y_{j} \cdot y_{i} & z_{j} \cdot y_{i} \\ x_{j} \cdot z_{i} & y_{j} \cdot z_{i} & z_{j} \cdot z_{i} \end{bmatrix}$





$$R_3^0 = \begin{bmatrix} 0 & -1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & -1 \end{bmatrix}$$

# Let's extend this to 3D rotational coordinate transformations.

by  $P^1$ 

 $p_{\mathbf{v}}$ 

 $x_0$ 

 $p_x$ 

Suppose a point *P* is rigidly attached to coordinate Frame 1, with coordinates given

We can express the location of the point P in terms of its coordinates  $P = p_x x_1 + p_y y_1$ 

To obtain the coordinates of P w.r.t. Frame 0, we project P onto the  $x_0$  and  $y_0$  axes:

 $x_1 \qquad P^0 = \begin{bmatrix} P \cdot x_0 \\ P \cdot y_0 \end{bmatrix} =$ 

 $x_0$ 

 $p_y$ 

 $p_x$ 

by  $P^1 =$ 

Suppose a point *P* is rigidly attached to coordinate Frame 1, with coordinates given

We can express the location of the point P in terms of its coordinates  $P = p_x x_1 + p_y y_1$ 

To obtain the coordinates of P w.r.t. Frame 0, we project P onto the  $x_0$  and  $y_0$  axes:

by <sup>1</sup> $P = \begin{bmatrix} p_x \\ p_y \end{bmatrix}$ .

 $p_x$ 

 $p_y$ 

 $x_0$ 

Suppose a point *P* is rigidly attached to coordinate Frame 1, with coordinates given

We can express the location of the point P in terms of its coordinates  $P = p_x x_1 + p_y y_1$ 

To obtain the coordinates of P w.r.t. Frame 0, we project P onto the  $x_0$  and  $y_0$  axes:

 $x_{1} P^{0} = \begin{bmatrix} P \cdot x_{0} \\ P \cdot y_{0} \end{bmatrix} = \begin{bmatrix} (p_{x}x_{1} + p_{y}y_{1}) \cdot x_{0} \\ (p_{x}x_{1} + p_{y}y_{1}) \cdot y_{0} \end{bmatrix} =$ 

 $x_0$ 

by <sup>1</sup> $P = \begin{bmatrix} p_x \\ p_y \end{bmatrix}$ .

Suppose a point *P* is rigidly attached to coordinate Frame 1, with coordinates given

We can express the location of the point P in terms of its coordinates  $P = p_x x_1 + p_y y_1$ 

To obtain the coordinates of P w.r.t. Frame 0, we project P onto the  $x_0$  and  $y_0$  axes:

 $p_{y} \qquad x_{1} \qquad P^{0} = \begin{bmatrix} P \cdot x_{0} \\ P \cdot y_{0} \end{bmatrix} = \begin{bmatrix} (p_{x}x_{1} + p_{y}y_{1}) \cdot x_{0} \\ (p_{x}x_{1} + p_{y}y_{1}) \cdot y_{0} \end{bmatrix} = \begin{bmatrix} p_{x}(x_{1} \cdot x_{0}) + p_{y}(y_{1} \cdot x_{0}) \\ p_{x}(x_{1} \cdot y_{0}) + p_{y}(y_{1} \cdot y_{0}) \end{bmatrix}$ 

 $x_0$ 

by <sup>1</sup> $P = \begin{bmatrix} p_x \\ p_y \end{bmatrix}$ .

Suppose a point *P* is rigidly attached to coordinate Frame 1, with coordinates given

We can express the location of the point P in terms of its coordinates  $P = p_x x_1 + p_y y_1$ 

To obtain the coordinates of P w.r.t. Frame 0, we project P onto the  $x_0$  and  $y_0$  axes:

 $p_{y} \qquad x_{1} \qquad P^{0} = \begin{bmatrix} P \cdot x_{0} \\ P \cdot y_{0} \end{bmatrix} = \begin{bmatrix} (p_{x}x_{1} + p_{y}y_{1}) \cdot x_{0} \\ (p_{x}x_{1} + p_{y}y_{1}) \cdot y_{0} \end{bmatrix} = \begin{bmatrix} p_{x}(x_{1} \cdot x_{0}) + p_{y}(y_{1} \cdot x_{0}) \\ p_{x}(x_{1} \cdot y_{0}) + p_{y}(y_{1} \cdot y_{0}) \end{bmatrix}$ 

 $= \begin{bmatrix} x_1 \cdot x_0 & y_1 \cdot x_0 \\ x_1 \cdot y_0 & y_1 \cdot y_0 \end{bmatrix} \begin{bmatrix} p_x \\ p_y \end{bmatrix}$ 

by  $P^1 = \begin{bmatrix} p_x \\ p_y \end{bmatrix}$ .

Suppose a point *P* is rigidly attached to coordinate Frame 1, with coordinates given

We can express the location of the point P in terms of its coordinates  $P = p_x x_1 + p_y y_1$ 

To obtain the coordinates of P w.r.t. Frame 0, we project P onto the  $x_0$  and  $y_0$  axes:

 $p_{y} \qquad x_{1} \qquad p^{0} = \begin{bmatrix} P \cdot x_{0} \\ P \cdot y_{0} \end{bmatrix} = \begin{bmatrix} (p_{x}x_{1} + p_{y}y_{1}) \cdot x_{0} \\ (p_{x}x_{1} + p_{y}y_{1}) \cdot y_{0} \end{bmatrix} = \begin{bmatrix} p_{x}(x_{1} \cdot x_{0}) + p_{y}(y_{1} \cdot x_{0}) \\ p_{x}(x_{1} \cdot y_{0}) + p_{y}(y_{1} \cdot y_{0}) \end{bmatrix}$ 

 $= \begin{bmatrix} x_1 \cdot x_0 & y_1 \cdot x_0 \\ x_1 \cdot y_0 & y_1 \cdot y_0 \end{bmatrix} \begin{bmatrix} p_x \\ p_y \end{bmatrix} = \mathbf{R_1^0} \mathbf{P^1}$ 

$$P^0 = R_1^0 P^1$$

 $x_0$ 

### The simplest example: rotation about the z axis



s we saw above:			
COS	$\theta$ .	$-\sin\theta$	0
$R_1^0 =  \sin $	θ	$\cos \theta$	0
Lo		0	1

The equation for rotational coordinate transformations generalizes immediately to the 3D case!

$$\boldsymbol{P^{0}} = \boldsymbol{R_{1}^{0}} \boldsymbol{P^{1}} = \begin{bmatrix} \cos\theta & -\sin\theta & 0\\ \sin\theta & \cos\theta & 0\\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} p_{x}\\ p_{y}\\ 0 \end{bmatrix}$$

# Composition of Rotations

For now, only consider the rotation, *not the translation*! This is an "exploded" view of three coordinate frames that share the same origin.



From our previous results, we know:

$$P^{0} = R_{1}^{0}P^{1}$$

$$P^{1} = R_{2}^{1}P^{2}$$
But we also know:  $P^{0} = R_{2}^{0}P^{2}$ 

$$P^{0} = R_{2}^{0}P^{2}$$

$$P^{0} = R_{2}^{0}P^{2}$$

$$P^{0} = R_{2}^{0}P^{2}$$

$$P^{0} = R_{2}^{0}P^{2}$$

$$R_j^i = \begin{bmatrix} x_j \cdot x_i & y_j \cdot x_i & z_j \cdot x_i \\ x_j \cdot y_i & y_j \cdot y_i & z_j \cdot y_i \\ x_j \cdot z_i & y_j \cdot z_i & z_j \cdot z_i \end{bmatrix}$$



A rectangular solid: all angles are multiples of  $\pi/2$ .

$$R_j^i = \begin{bmatrix} x_j \cdot x_i & y_j \cdot x_i & z_j \cdot x_i \\ x_j \cdot y_i & y_j \cdot y_i & z_j \cdot y_i \\ x_j \cdot z_i & y_j \cdot z_i & z_j \cdot z_i \end{bmatrix}$$

In preceding examples, we have computed  $R_1^0$ ,  $R_2^0$ ,  $R_3^0$ . Can we compute  $R_3^2$ ?  $R_3^0 = R_2^0 R_3^2$  $(R_2^0)^{-1} R_3^0 = R_3^2$  $(R_2^0)^T R_3^0 = R_3^2$  $R_0^2 R_3^0 = R_3^2$  $Z_0$  $Z_2$  $y_0$  $x_0$  $R_3^2 = \begin{vmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{vmatrix} \begin{vmatrix} 0 & -1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & -1 \end{vmatrix} = \begin{vmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{vmatrix}$  $y_3$  $x_3$ Check this against the figure by directly determining  $R_3^2$ ... it works!  $Z_{2}$ 

# Now let's add translation...

# Specifying Pose in the Plane

Suppose we now translate Frame 1 (*no new rotatation*). What are the coordinates of *P* w.r.t. Frame 0?



# Homogeneous Transformations

We can simplify the equation for coordinate transformations by augmenting the vectors and matrices with an extra row:

This is just our eqn from the previous page

ust our eqn from  
vious page 
$$\begin{bmatrix} P^0 \\ 1 \end{bmatrix} = \begin{bmatrix} R_1^0 P^1 + d^0 \\ 1 \end{bmatrix} = \begin{bmatrix} R_1^0 & d^0 \\ 0_n & 1 \end{bmatrix} \begin{bmatrix} P^1 \\ 1 \end{bmatrix}$$
in which  $0_n = \begin{bmatrix} 0 & \cdots & 0 \end{bmatrix}$ 

The set of matrices of the form  $\begin{bmatrix} R & d \\ 0_n & 1 \end{bmatrix}$ , where  $R \in SO(n)$  and  $d \in \mathbb{R}^n$  is called

the **Special Euclidean Group of order** n, or SE(n).

# A bunch of examples: $R_{j}^{i} = \begin{bmatrix} x_{j} \cdot x_{i} & y_{j} \cdot x_{i} & z_{j} \cdot x_{i} \\ x_{j} \cdot y_{i} & y_{j} \cdot y_{i} & z_{j} \cdot y_{i} \\ x_{j} \cdot z_{i} & y_{j} \cdot z_{i} & z_{j} \cdot z_{i} \end{bmatrix}$

A rectangular solid: all angles are multiples of  $\pi/2$ .





Now let's look at both the relative orientation and relative position of frames.







$$R_2^1 = \begin{bmatrix} -1 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & -1 & 0 \end{bmatrix}$$

$$T_2^1 = \begin{bmatrix} -1 & 0 & 0 & 0\\ 0 & 0 & -1 & 0\\ 0 & -1 & 0 & 10\\ 0 & 0 & 0 & 1 \end{bmatrix}$$

# Composition of Transformations

Now, consider the rotation and the translation!





From our previous results, we know:

$$\tilde{P}^{0} = T_{1}^{0}P^{1}$$

$$\tilde{P}^{1} = T_{2}^{1}\tilde{P}^{2}$$
But we also know:  $\tilde{P}^{0} = T_{2}^{0}\tilde{P}^{2}$ 

$$\tilde{P}^{0} = T_{2}^{0}\tilde{P}^{2}$$

$$\tilde{P}^{0} = T_{2}^{0}\tilde{P}^{2}$$

$$\tilde{P}^{0} = T_{2}^{0}\tilde{P}^{2}$$

A rectangular solid: all angles are multiples of  $\pi/2$ .



$$T_{1}^{0} = \begin{bmatrix} -1 & 0 & 0 & -5 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 4 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$
$$T_{2}^{1} = \begin{bmatrix} -1 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & -1 & 0 & 10 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$
$$T_{2}^{0} = \begin{bmatrix} -1 & 0 & 0 & -5 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 4 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} -1 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & -1 & 0 & 10 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$
$$= \begin{bmatrix} 1 & 0 & 0 & -5 \\ 0 & -1 & 0 & 10 \\ 0 & 0 & -1 & 4 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Check this by directly determining  $T_2^0$  from the figure... it works!

Inverse of a Homogeneous Transformation What is the relationship between  $T_j^i$  and  $T_i^j$ ?

In general, 
$$T_k^j = (T_j^k)^{-1}$$
 and  $\begin{bmatrix} \mathbf{R} & \mathbf{d} \\ \mathbf{0}_n & 1 \end{bmatrix}^{-1} = \begin{bmatrix} \mathbf{R}^T & -\mathbf{R}^T \mathbf{d} \\ \mathbf{0}_n & 1 \end{bmatrix}$ 

This is easy to verify:

$$\begin{bmatrix} \mathbf{R} & \mathbf{d} \\ \mathbf{0}_n & 1 \end{bmatrix} \begin{bmatrix} \mathbf{R}^T & -\mathbf{R}^T \mathbf{d} \\ \mathbf{0}_n & 1 \end{bmatrix} = \begin{bmatrix} \mathbf{R}\mathbf{R}^T & -\mathbf{R}\mathbf{R}^T \mathbf{d} + \mathbf{d} \\ \mathbf{0}_n & 1 \end{bmatrix} = \begin{bmatrix} \mathbf{I}_{n \times n} & \mathbf{0}_n \\ \mathbf{0}_n & 1 \end{bmatrix} = I_{(n+1) \times (n+1)}$$

A rectangular solid: all angles are multiples of  $\pi/2$ .





$$(T_2^0)^{-1} = \begin{bmatrix} \mathbf{R}^T & -\mathbf{R}^T \mathbf{d} \\ \mathbf{0}_n & 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 5 \\ 0 & -1 & 0 & 10 \\ 0 & 0 & -1 & 4 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$
$$T_2^0(T_2^0)^{-1} = \begin{bmatrix} 1 & 0 & 0 & -5 \\ 0 & -1 & 0 & 10 \\ 0 & 0 & -1 & 4 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 5 \\ 0 & -1 & 0 & 10 \\ 0 & 0 & -1 & 4 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 5 \\ 0 & -1 & 0 & 10 \\ 0 & 0 & -1 & 4 \\ 0 & 0 & 0 & 1 \end{bmatrix} = I$$

Check this by directly determining  $T_0^2$  from the figure... it works!

### Rotations about Coordinate Axes



# Roll, Pitch, and Yaw

When we parameterize the rotation matrices using

 $R = R_{z,\psi}R_{y,\theta}R_{x,\phi}$ , the angles are called roll, pitch, and yaw:

- Yaw  $\psi$  is a rotation about the world's z-axis.
- Pitch θ is a rotation about the plane's y-axis (note, this axis moves as a function of the yaw angle).
- Roll φ is a rotation about the plane's x-axis (note, this axis moves as a function of the yaw angle and the pitch angle).



This coordinate frame assignment is known as *Forward-Left-Up (FLU)*.

- The x-axis is the Forward direction.
- The *y*-axis points to the Left.
- The z-axis points Up.

### Parameterization of 3D Rotations

• Consider the three successive rotations:  $R = R_{z,\psi}R_{y,\theta}R_{x,\phi} = R_{yaw}R_{pitch}R_{roll}$ 

$$R_{z,\psi}R_{y,\theta}R_{x,\phi} = \begin{bmatrix} \cos\psi & -\sin\psi & 0\\ \sin\psi & \cos\psi & 0\\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} \cos\theta & 0 & \sin\theta\\ 0 & 1 & 0\\ -\sin\theta & 0 & \cos\theta \end{bmatrix} \begin{bmatrix} 1 & 0 & 0\\ 0 & \cos\phi & -\sin\phi\\ 0 & \sin\phi & \cos\phi \end{bmatrix}$$

$$= \begin{bmatrix} C_{\psi}C_{\theta} & C_{\psi}S_{\theta}S_{\phi} - S_{\psi}C_{\phi} & C_{\psi}S_{\theta}C_{\phi} + S_{\psi}S_{\phi} \\ S_{\psi}C_{\theta} & S_{\psi}S_{\theta}S_{\phi} + C_{\psi}C_{\phi} & S_{\psi}S_{\theta}C_{\phi} - C_{\psi}S_{\phi} \\ -S_{\theta} & C_{\theta}S_{\phi} & C_{\theta}C_{\phi} \end{bmatrix}$$

## Parameterization of 3D Rotations

### Any rotation matrix can be expressed in this form!

$$\begin{bmatrix} r_{11} & r_{12} & r_{13} \\ r_{21} & r_{22} & r_{23} \\ r_{31} & r_{32} & r_{33} \end{bmatrix} = \begin{bmatrix} C_{\psi}C_{\theta} & C_{\psi}S_{\theta}S_{\phi} - S_{\psi}C_{\phi} & C_{\psi}S_{\theta}C_{\phi} + S_{\psi}S_{\phi} \\ S_{\psi}C_{\theta} & S_{\psi}S_{\theta}S_{\phi} + C_{\psi}C_{\phi} & S_{\psi}S_{\theta}C_{\phi} - C_{\psi}S_{\phi} \\ -S_{\theta} & C_{\theta}S_{\phi} & C_{\theta}C_{\phi} \end{bmatrix}$$

- 1. Solve for  $\theta$  using  $r_{31} = -S_{\theta}$
- 2. Solve for  $\phi$  using  $r_{32} = C_{\theta}S_{\phi}$ ,  $r_{33} = C_{\theta}C_{\phi}$
- 3. Solve for  $\psi$  using  $r_{11} = C_{\psi}C_{\theta}$ ,  $r_{21} = S_{\psi}C_{\theta}$

The function ATAN2(y, x) returns the angle whose tangent is y/x, in the appropriate quadrant. Thus:

$$\phi = ATAN2(r_{32}, r_{33})$$
  
$$\psi = ATAN2(r_{21}, r_{11})$$

We can parameterize SO(3) using these three angles,  $\phi$ ,  $\theta$ ,  $\psi$ .

# Singularities for Roll, Pitch, Yaw

• For Roll, Pitch, and Yaw, when  $S_{\theta} = 1$ , we have:

$$R_{z,\psi}R_{y,\theta}R_{x,\phi} = \begin{bmatrix} C_{\psi}C_{\theta} & C_{\psi}S_{\theta}S_{\phi} - S_{\psi}C_{\phi} & C_{\psi}S_{\theta}C_{\phi} + S_{\psi}S_{\phi} \\ S_{\psi}C_{\theta} & S_{\psi}S_{\theta}S_{\phi} + C_{\psi}C_{\phi} & S_{\psi}S_{\theta}C_{\phi} - C_{\psi}S_{\phi} \\ -S_{\theta} & C_{\theta}S_{\phi} & C_{\theta}C_{\phi} \end{bmatrix}$$

$$= \begin{bmatrix} 0 & C_{\psi}S_{\phi} - S_{\psi}C_{\phi} & C_{\psi}C_{\phi} + S_{\psi}S_{\phi} \\ 0 & S_{\psi}S_{\phi} + C_{\psi}C_{\phi} & S_{\psi}C_{\phi} - C_{\psi}S_{\phi} \\ -1 & 0 & 0 \end{bmatrix}$$

When  $S_{\theta} = 1$ , there are infinitely many solutions for  $\psi$  and  $\phi$ . Only the difference  $\phi - \psi$  is uniquely determined.

So, this is only a local parameterization. It works when  $r_{31} \neq \pm 1$ .

# Singularities for Roll, Pitch, Yaw

Things break down when  $\theta = \pm \frac{\pi}{2}$  --- but this makes sense!

If  $\theta = \pm \frac{\pi}{2}$  then the planes *x*-axis is aligned with the world's *z*-axis. In this case, roll and yaw are rotations about the same axis!

- Roll, Pitch, and Yaw are useful when the plane is roughly horizontal.
- If the plane tips completely up or completely down (i.e.,  $\theta = \pm \frac{\pi}{2}$ ), things have already gone very wrong, so it's not such a big deal that the parameterization breaks down for this case.



This coordinate frame assignment is known as *Forward-Left-Up (FLU)*.

- The *x*-axis is the Forward direction.
- The *y*-axis points to the Left.
- The z-axis points Up.

### Other Parameterization of 3D Rotations

Consider the three successive rotations:  $R = R_{a,\phi}R_{b,\theta}R_{c,\psi}$ where a, b, c denote coordinate axes and  $a \neq b, b \neq c$ .

There are lots of possibilities!

 $R_{z,\psi}R_{y,\theta}R_{z,\phi}$  $R_{x,\psi}R_{y,\theta}R_{z,\phi}$  $R_{x,\psi}R_{y,\theta}R_{x,\phi}$  $R_{y,\psi}R_{z,\theta}R_{x,\phi}$ 

 $R_{z,\psi}R_{x,\theta}R_{z,\phi}$ 

- These are generically referred to as *Euler angles*.
- Each set of Euler angles admits a local parameterization of SO(3).
- Like Roll-Pitch-Yaw, each Euler angle parameterization is a *local* parameterization, and has problems for certain configurations.
- The configurations where things break down are referred to as singularities.
- These singularities are the reason Oculus was successful in the VR headset market. Previous designs often used Euler angle parameterizations of rotation. Looking directly up caused the display to spin wildly.
- The z-y-z Euler angles are commonly used to parameterize the orientation of the wrist mechanism of robot manipulators.

# Other Parameterization of 3D Rotations

- 3x3 matrices
- Quaternions: generalization of complex numbers:
- A rotation with an angle  $\theta$  around the axis defined by the unit vector:

$$ec{u}=(u_x,u_y,u_z)=u_x\mathbf{i}+u_y\mathbf{j}+u_z\mathbf{k}$$

is represented by:

$$\mathbf{q}=e^{rac{ heta}{2}(u_x\mathbf{i}+u_y\mathbf{j}+u_z\mathbf{k})}=\cosrac{ heta}{2}+(u_x\mathbf{i}+u_y\mathbf{j}+u_z\mathbf{k})\sinrac{ heta}{2}$$

GTSAM can do both internally, chosen via compile-time flag.

